

## ON THE COMPLEMENT OF THE MANDELBROT SET

BY

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## ABSTRACT

We study the uniformization function of the Mandelbrot set via the behavior of multipliers of periodic orbits

**Introduction**

Let us consider the quadratic family

$$f_c: z \mapsto z^2 + c$$

with complex parameter  $c$ . Every  $c \in \mathbb{C}$  represents a dynamical system with discrete time; that is, it corresponds to a semigroup  $\{f_c^n\}_{n=0}^\infty$  generated by the map  $f_c: \mathbb{C} \rightarrow \mathbb{C}$ . By  $f_c^n$ , we mean the  $n$ -fold composition  $\underbrace{f \circ \cdots \circ f}_n$ . The **Julia set**  $J_c$  of  $f_c$  is the closure of the repelling periodic points of  $f_c$ . As is well known, the Julia set is connected if and only if iterates of the critical point of  $f_c$  are bounded. The set of such  $c$  values

$$M = \{c: J_c \text{ is connected}\}$$

is called the **Mandelbrot set**, and is known to be compact. The focus of the present work are maps  $f_c$  with disconnected Julia set  $J_c$  (i.e.  $c \in \mathbb{C} \setminus M$ ). All such maps are pairwise quasiconformally conjugate.

Fix for a moment  $c \in \mathbb{C} \setminus M$ . The Julia set  $J_c$  is the boundary of the basin of infinity

$$A_c = \{z: f_c^n(z) \rightarrow \infty \text{ as } n \rightarrow \infty\}$$

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(we will often omit the subscript  $c$  if the value of  $c$  is clear from the context). In our case  $J = \mathbb{C} \setminus A$  and  $0 \in A$ . There exists a univalent function  $B$  which carries a neighborhood of infinity to a neighborhood of infinity and satisfies the functional equation

$$B \circ f(z) = (B(z))^2, \quad B(z) \sim z \text{ at } \infty.$$

The function  $B$  is known as the **Böttcher** coordinate function.

The function

$$u(z) = \log |B(z)|$$

extends to a continuous function on the whole complex plane which is harmonic on  $A$  and equal to zero on the complement  $J$ ; in fact,  $u(z)$  is the Green's function of  $A$  with pole at  $\infty$ . For every  $r > 0$ , we let  $G(r) = \{z: u(z) > r\}$ . The domain

$$G_0 = G(u(0))$$

plays a special role: if  $r \geq u(0)$ , the domain  $G(r) \cup \infty$  is simply-connected in the Riemann sphere, and the map  $B$  extends to a univalent function in  $G_0$  whose image is  $\{w: |w| > u(0)\}$ . Note that  $0 \in \partial G_0$ , and  $c \in G_0$  [DH1].

Let us now define the **external rays in the dynamical plane** for  $z \mapsto f(z)$  [DH2], [GM], [LS]. For more information, see Section 2 of the present paper. For each  $t \in \mathbb{R}/\mathbb{Z} = \mathbb{T}$ , the set

$$R_t = B^{-1}(\{e^{r+2\pi it}: r > u(0)\})$$

is the external ray of angle  $t$  in the domain  $G_0$ . We extend the external rays from  $G_0$  up to the Julia set as follows: A **smooth** external ray  $R$  of  $f$  is a maximal  $C^1$ -curve in  $A$  which orthogonal to the level curves

$$\Gamma(r) = \{z: u(z) = r\}$$

for every  $r > 0$ , and hence the limit set of  $R$  belongs to  $J$ . An arbitrary external ray is either smooth one, or the limit curve of a sequence of smooth external rays. The **angle**  $t$  of a ray  $R = R_t$  is the angle of the restriction of  $R$  to  $G_0$ . When the Julia set is totally disconnected, the ray  $R_t$  has a unique limit point  $z = z_t \in J$  (called the **landing point** of  $R_t$ , or the point of **external argument**  $t$ ), and conversely, every point  $z \in J$  is a landing point of some ray  $R_t$ . Given  $z \in J$ , let

$\Lambda(z)$  be the set of all external arguments of  $z$ . The set  $\Lambda(z)$  is a compact subset of the circle  $\mathbb{T}$ . Note that if  $t \in \Lambda(z)$ , we have  $\sigma_2(t) \in \Lambda(f(z))$ , where

$$\sigma_2(t) = 2t \pmod{1}.$$

We can also define **external rays** in  $\mathbb{C} \setminus M$ , as follows [DH1],[DH2]. For each  $c \in \mathbb{C} \setminus M$ , the complex number

$$B_c(c) = e^{2\pi(h_c + it_c)}$$

is well defined, with  $h_c > 0$ ,  $t_c \in \mathbb{T}$ . Here,  $h_c = u(c)/2\pi$  and  $t_c$  is the external angle of the critical value  $c = f_c(0) \in G_0$ . We will refer to  $h_c$  and  $t_c$  as the **natural parameters of  $c$**  for  $c \in \mathbb{C} \setminus M$ . A theorem of Douady and Hubbard [DH1] states that correspondence

$$\Phi: c \mapsto B_c(c)$$

is the Riemann map of  $\mathbb{C} \setminus M$  onto the  $\{|w| > 1\}$ ; in particular, every  $f_c$  with disconnected  $J_c$  is uniquely determined by the natural parameters  $h_c > 0$  and  $t_c \in [0, 1)$ . The smooth curve

$$R_t^M = \Phi^{-1}(\{re^{2\pi it}; r > 1\})$$

is called the **external ray of  $M$  at angle  $t$** .

A **hyperbolic component**  $W$  of the Mandelbrot set is a connected component of  $\text{int } M$  for which the map  $f_c$  has an attracting cycle  $\bar{\alpha}(c)$  of some period  $m$  for all  $c \in W$  (the attracting cycle of  $f_c$  in  $\mathbb{C}$  is unique if it exists). The correspondence between  $c$  and the multiplier  $\lambda(c)$  of this cycle gives the Riemann map  $W \mapsto \mathbb{D} = \{|\lambda| < 1\}$  (theorem of Douady–Hubbard–Sullivan [D1]). The function  $c \mapsto \lambda(c)$  extends to a homeomorphism  $\partial W \rightarrow \partial \mathbb{D}$ , and defines the **internal argument** (or **internal angle**) of a point  $c \in \partial W$ : If  $\lambda(c) = e^{2\pi i\nu}$ , with  $\nu \in [0, 1)$ , then the internal argument of  $c$  is  $\nu$ . The point  $c_W$  with internal argument zero is called the **root** of the hyperbolic component  $W$ .

Consider a one-parameter family of maps  $f_c$  such that the parameter  $c$  leaves  $\overline{W}$  through a point  $c_* \in \partial W \setminus c_W$ . Then the cycle  $\bar{\alpha}(c)$  becomes repelling, and the internal argument  $\nu$  of  $c_*$  turns into a combinatorial characterization of the cycle  $\bar{\alpha}(c)$ . This is the combinatorial rotation number of the cycle  $\bar{\alpha}(c)$  (see the definition later on).

Roughly speaking, we describe the connection between the multiplier  $\lambda(c)$  and the rotation number  $\nu$  of the cycle  $\bar{\alpha}(c)$  and the natural parameters  $t_c$  and  $h_c$  of  $c$ , when  $c$  passes from the hyperbolic component  $W$  to the complement  $\mathbb{C} \setminus M$  of the Mandelbrot set. Inequalities we will prove are “natural extensions” of **Yoccoz inequality** [Y]. Our argument is based on the concepts of **rotation number** [Y], [GM], and **hedghehog** [SY], [LS].

The Yoccoz inequality (in the case of quadratic family) is related to the case when  $c$  leaves  $\bar{W}$  through a point  $c_* \in \partial W$  with rational internal argument  $\nu = \frac{p}{q}$  (in reduced form) and  $c$  continues to stay inside the Mandelbrot set. Then [Y]

$$\left| \log \lambda_c - \left( \frac{m \log 2}{q} + \frac{2\pi i p}{q} \right) \right| < \frac{m \log 2}{q},$$

where  $\lambda_c$  is the multiplier of the cycle  $\bar{\alpha}(c)$  of period  $m$ . Our results are related to the case when  $c$  leaves  $\bar{W}$  through any point of  $\partial W \setminus c_W$ . We use the convergents to the continued fraction expansion of the number  $\nu$ . To every convergent  $\frac{p_j}{q_j}$  of  $\nu$  there corresponds an interval  $[h^{(j+1)}, h^{(j)}]$  of the parameter  $h_c$  and an interval  $T_j$  of the parameter  $t_c$  such that

$$\left| \log \lambda_c - \left( \frac{m\aleph}{q_j} + \frac{2\pi i p_j}{q_j} \right) \right| < \frac{m\aleph}{q_j},$$

with some constant  $\aleph$  which depends on  $m q_j$  and  $m(q_{j+1} - q_j)$ . Asymptotically,  $\aleph \sim 4 \log 2$  and  $h^{(j)} \sim T_j$  for  $m(q_{j+1} - q_j)$  and  $m q_j$  large.

In order to state clearly our results, we start with the Douady–Hubbard description of the external arguments of the hyperbolic components of  $M$  [DH1], [D2]. First, consider the main hyperbolic component

$$W_0 = \{c: f_c \text{ has an attracting fixed point } \alpha(c)\}.$$

As  $c$  goes around  $\partial W_0$ , the multiplier  $\lambda_0(c)$  of the  $\alpha(c)$  goes around  $\partial \mathbb{D}$  one time. If

$$\lambda_0(c) = e^{2\pi i \nu}$$

with  $\nu = \frac{p}{q} \neq 0$  rational, the corresponding point  $c \in \partial W_0$  is a landing point of two external rays of  $M$  with arguments

$$\theta_{0,1}^-(\nu) \quad \text{and} \quad \theta_{0,1}^+(\nu).$$

These rays divide  $\mathbb{C}$  into two parts. Let  $c$  belong to the part not containing  $W_0$ . Then one fixed point of  $f_c$  has the external argument zero, and the other fixed point  $\alpha(c)$  has exactly  $q$  external arguments. More exactly,  $\Lambda(\alpha(c))$  is a period  $q$  cycle of  $\sigma_2: \mathbb{T} \rightarrow \mathbb{T}$ , and the points  $\theta_{0,1}^\pm(\nu)$  are the closest points of this cycle. Moreover,  $t_c \in [\theta_{0,1}^-(\nu), \theta_{0,1}^+(\nu)]$ .

If  $\lambda_0(c) = e^{2\pi i\nu}$  with  $\nu$  irrational, then the point  $c \in \partial W_0$  is a landing point of exactly one external ray  $R_{\theta_{0,1}(\nu)}^M$ . If  $c \in R_{\theta_{0,1}(\nu)}^M$ , then we have  $\theta_{0,1}(\nu) = t_c$  and  $t_c$  is an external angle of the fixed point  $\alpha(c)$ .

In both cases, the set  $\Lambda(\alpha(c))$  of external arguments of the fixed point  $\alpha(c)$  is a **closed minimal rotation set of the map  $\sigma_2: \mathbb{T} \rightarrow \mathbb{T}$ , with rotation number  $\nu$** . This set is uniquely determined by  $\nu \in (0, 1)$  [V],[BS].

Now let  $W$  be any hyperbolic component such that for each  $c \in W$ , the map  $f_c$  has a stable cycle of period  $m$  with multiplier  $\lambda(c)$ . The root  $c_W$  is a landing point of two external rays of  $M$  with angles

$$\frac{a}{2^m - 1} \quad \text{and} \quad \frac{b}{2^m - 1},$$

for some “digits”  $a, b \in \{1, 2, \dots, 2^m - 2\}$ , with  $a < b$  (we assume  $W \neq W_0$ , i.e.  $m \geq 2$ ).

According to [D2], there is one-to-one correspondence between the external arguments of the points  $\partial W_0 \setminus \{c_{W_0}\}$  and those in  $\partial W \setminus \{c_W\}$ . The algorithm for producing the correspondence is as follows: *take the binary expansion of the external angles  $\theta_{0,1}^\pm(\nu)$  or  $\theta_{0,1}(\nu)$  corresponding to a point  $c$  in  $\partial W_0$ , replace the digit 0 with  $a$ , and replace each 1 with  $b$  to obtain a base  $2^m$  expansion of the external arguments  $\theta_{a,b}^\pm(\nu)$  or  $\theta_{a,b}(\nu)$  for the point  $\psi(c)$  in  $\partial W$  which corresponds to  $c$ .*

In fact, the points  $\theta_{a,b}^\pm(\nu)$  ( $\nu$  rational) and the point  $\theta_{a,b}(\nu)$  ( $\nu$  irrational) can be characterized as specific points of the unique minimal closed rotation set of the map  $\sigma_2^m$  which has rotation number  $\nu$  and contains only the digits  $a$  and  $b$  in the  $2^m$ -base expansion of its points. All such sets are described in [V],[BS] (see Section 3 of the present paper). In particular, the points  $\theta_{a,b}^\pm(\nu)$  ( $\nu$  rational) are the closest points of the corresponding finite rotation set.

**Remark on the rotation number and on the method of the proof.**

Let  $\alpha$  be a repelling fixed point of a polynomial  $P$ . Yoccoz obtains his inequality using the rotation number of  $\alpha$  when the Julia set of  $P$  is connected. Then the rotation number  $\nu$  is defined, rational, and describes the order of permutations of

classes of homotopic (with fixed ends  $\alpha$  and infinity) accesses to  $\alpha$  from the basin of infinity  $D$  of  $P$  [D1], [Y]. One can say that in this case the rotation number does not depend on an invariant foliation  $\Gamma$  transverse to the level curves of the Green's function of  $D$  (the classical foliation is orthogonal to the level curves: this foliation is just the set of external rays).

The situation is changed if the Julia set of  $P$  is not connected: the rotation number does depend on the foliation  $\Gamma$ . In particular, there exists an access to  $\alpha$  from  $D$  which is invariant under some iterate  $P^l$  [EL]. This means that for an appropriate foliation  $\Gamma$ , the rotation number of  $\alpha$  is rational.

We will deal with quadratic family  $f_c$  and with foliations which cross the level curves  $u(z) = \text{const}$  at a fixed angle  $\tau$ . The foliation  $\Gamma^\tau$  is formed as follows: on the domain  $f(G_0) \setminus G_0$ , let  $\Gamma_0$  be the family of arcs  $\gamma$  which cross each level curve at angle  $\tau$ , and then  $\Gamma^\tau$  is the collection of all images and preimages of  $\Gamma_0$  under  $f$ . The slope  $\tau$  determines also the hedgehog, as follows: we make the cuts along the preimages of an arc of a leaf of  $\Gamma^\tau$  from the critical value  $c$  up to the Julia set and extend the Böttcher function  $B$  to a univalent function  $B^\tau$  of the resulting domain; the boundary of the image is the hedgehog. This is done in [LS] for  $\tau = \pi/2$ . For an arbitrary  $\tau$  we obtain in this way a "slanting"  $\tau$ -hedgehog (see Section 2). The image  $B^\tau(R^\tau)$  of a leaf  $R^\tau \in \Gamma^\tau$  crosses the unit circle at some point  $t$  which is the  $\tau$ -argument (angle) of  $R^\tau$ . A periodic point of  $f_c$  has compact set of external  $\tau$ -arguments and a well-defined rotation number with respect to  $\Gamma^\tau$ .

The idea of our method is as follows. Given natural parameters  $t_c$  and  $h_c$  we try to choose a foliation  $\Gamma^\tau$  in such a way that a given periodic point of  $f_c$  has the points  $\theta_{a,b}^\pm(\nu)$  as its external  $\tau$ -arguments and so that the interval between the ends  $\theta_{a,b}^\pm(\nu)$  has an "angle of vision" from the point  $t_c + ih_c$  which is not too small. Then we apply a general Yoccoz-type inequality (the so-called *visibility inequality*, see Section 5) to estimate the multiplier. Particular cases of this inequality corresponding to the angle  $\tau = \pi/2$  in our notations have been obtained in [LS] and independently in [G].

We will realize this method of "slanting" hedgehogs for the periodic points satisfying the conditions (C1)–(C4) of the next Section.

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### Notation

- $H = \{\omega \in \mathbb{C}: \operatorname{Im} \omega > 0\}$ ,  $\bar{H} = H \cup \mathbb{R}$ .
- $\mathbb{D} = \{z \in \mathbb{C}: |z| < 1\}$ ,  $\mathbb{D}^* = \{z \in \mathbb{C}: |z| > 1\}$ .
- $\mathbb{T} = \partial\mathbb{D} \simeq \mathbb{R}/\mathbb{Z} \simeq [0, 1)$ .
- $\sigma_d: [0, 1) \rightarrow [0, 1)$ ,  $\sigma_d(t) = d.t \pmod{1}$ ,  $d > 1$ .
- $\varrho_d: \mathbb{C} \rightarrow \mathbb{C}$ ,  $\varrho_d(z) = d.z$ ,  $d > 1$ .
- $\tilde{\varrho}_d(x + iy) = \sigma_d(x) + id.y$ ,  $d > 1$ ,  $(x, y) \in [0, 1) \times \mathbb{R}$ .
- Given  $\nu \in (0, 1)$ , let  $\nu = [a_1, a_2, a_3, \dots]$  be the unique continued fraction expansion, with the last element equal to 1 if  $\nu$  is rational; let

$$\frac{p_j}{q_j} = [a_1, a_2, \dots, a_j]$$

be the convergents to  $\nu$ , where  $j = 1, 2, 3, \dots$  if  $\nu$  irrational, and  $j = 1, 2, 3, \dots, N$  with a finite  $N = N(\nu)$  if  $\nu$  rational; in the latter case,  $\nu = \frac{p_N}{q_N}$ , and we set  $q_{N+1} = \infty$ .

- For the Green's function  $u(z)$  of  $f = f_c$  and for  $r > 0$ , let

$$G(r) = \{z: u(z) > r\}, \quad K(r) = \mathbb{C} \setminus G(r), \quad \Gamma(r) = \partial K(r).$$

### 1. Main results

Let  $c_0 \in \mathbb{C} \setminus M$  and  $\bar{\alpha}^0 = \{\alpha_i^0\}_{i=1}^m$  be a repelling periodic orbit of  $f_{c_0}$  of a period  $m$ . Let  $E$  be a simply connected domain of  $\mathbb{C}$  which is bounded by two external rays of  $M$  and a part of the boundary  $\partial M$ , such that  $c_0 \in E$ . Denote by  $\bar{\alpha}(c) = \{\alpha_i(c)\}_{i=1}^m$  the  $m$  different holomorphic in  $E$  functions such that for every  $c \in E$ ,  $\bar{\alpha}(c)$  is a periodic orbit of  $f_c$  with period  $m$ , and  $\alpha_i(c_0) = \alpha_i^0$ ,  $i = 1, \dots, m$ . The continuation  $\bar{\alpha}(c)$  of the cycle  $\bar{\alpha}^0$  is defined uniquely in the following sense: if  $\bar{\alpha}_1(c)$  and  $\bar{\alpha}_2(c)$  are two continuations corresponding to domains  $E_1$  and  $E_2$  as above, then  $\bar{\alpha}_1(c) \equiv \bar{\alpha}_2(c)$  in the common part of  $E_1$  and  $E_2$  which contains  $c_0$ .

From now on we fix  $c_0$ ,  $\bar{\alpha}^0$  and hence the  $\bar{\alpha}(c)$  as above. Note that

$$\alpha_i(c) \neq \alpha_j(c), \quad i \neq j,$$

since  $f_c$  has no neutral cycles if  $c \in \mathbb{C} \setminus M$ .

Given  $c$ , let us consider the set of external arguments  $\Lambda(\alpha_i)$  of the point  $\alpha_i = \alpha_i(c)$ ,  $i = 1, \dots, m$ , and let

$$\Lambda(\bar{\alpha}) = \bigcup_{i=1}^m \Lambda(\alpha_i).$$

Every  $\Lambda(\alpha_i)$  is a non-empty proper compact subset of  $\mathbb{T}$ , and the map  $\sigma_2$  permutes the sets  $\Lambda(\alpha_i)$ ,  $i = 1, \dots, m$ . On the other hand, the map  $f^m$  preserves the cyclic order of the external rays landing at  $\alpha_i$ , allowing us to define the rotation number as follows [Y], [GM].

Let  $d = 2^m$ . The set  $\Lambda(\bar{\alpha})$  is the **rotation set of the map  $\sigma_d$  with rotation number  $\nu$** .

*Definition 1.1:* A compact  $\Lambda \subset \mathbb{T}$  is called the **rotation set** of the map  $\sigma_d$  if  $\sigma_d(\Lambda) \subseteq \Lambda$  and the restriction  $\sigma_d|_{\Lambda}$  can be extended to a map of  $\mathbb{T}$  to  $\mathbb{T}$  which lifts to a non-decreasing continuous map  $F: \mathbb{R} \rightarrow \mathbb{R}$  such that  $F - id$  is 1-periodic.

Under these conditions, the limit

$$\lim_{n \rightarrow \infty} \frac{F^n(x) - x}{n}, \quad x \in \mathbb{R},$$

exists, and its fractional part  $\nu$  depends only on  $(\sigma_d, \Lambda)$ . The number  $\nu \in [0, 1)$  is called the **rotation number of the set  $\Lambda$** .

The sets  $\Lambda(\alpha_i)$ ,  $i = 1, \dots, m$ , are also rotation sets of  $\sigma_d$  with the same rotation number  $\nu$ . The number  $\nu$  is either irrational ( $\Lambda(\bar{\alpha})$  is infinite) or rational ( $\Lambda(\bar{\alpha})$  is finite) [GM]. In the latter case,  $\Lambda(\bar{\alpha})$  consists of  $\sigma_d$ -cycles of period  $q$ , where  $\nu = p/q$  (in reduced form). We will assume  $\nu \neq 0$ .

We will say the cycle  $\bar{\alpha} = \bar{\alpha}(c)$  satisfies the **two-digit conditions**, if the following conditions (C1)–(C4) are fulfilled:

- (C1) there exists a point  $\theta \in \Lambda(\bar{\alpha})$ , which has a  $d$ -expansion containing only two digits  $a, b \in \{0, 1, \dots, d-1\}$ , with  $a < b$ ;
- (C2) if the period  $m$  is not 1, then  $a \neq 0$  and  $b \neq d-1$ ;
- (C3) if  $\Lambda(\bar{\alpha})$  is infinite ( $\nu$  irrational), then  $\theta = t_c = \theta_{a,b}(\nu)$ ;
- (C4) if  $\Lambda(\bar{\alpha})$  is finite ( $\nu$  rational), then  $\theta$  is one of the two closest points  $\theta_{a,b}^-(\nu)$ ,  $\theta_{a,b}^+(\nu)$  of the finite  $\sigma_d$ -orbit of  $\theta$ , and  $t_c \in [\theta_{a,b}^-(\nu), \theta_{a,b}^+(\nu)]$ .

*Remark 1.1:* If the cycle  $\bar{\alpha}^0$  is a fixed point and  $\nu^0 \neq 0$ , the conditions (C1)–(C4) hold with  $a = 0, b = 1$  [DH1]. One can consider this fact, together with



the Douady–Hubbard description of the external arguments of the hyperbolic components  $M$ , as justification to introduce these conditions.

For fixed  $c_0$  and corresponding cycle  $\bar{\alpha}^0 = \bar{\alpha}(c_0)$ , we consider the rotation number  $\nu^0 \neq 0$  of this cycle and the corresponding convergents to  $\nu^0$ :  $\{\frac{p_j}{q_j}\}_{j=1}^N$  (see Notation). In particular,  $\nu^0 = \frac{p_N}{q_N}$ , if  $N < \infty$  (i.e.  $\nu^0$  rational).

Fix any finite  $j$ , such that

$$j = 1, 2, \dots, \text{ if } N = \infty, \quad \text{and} \quad j = 1, 2, \dots, N, \text{ if } N < \infty.$$

Define a domain  $\Omega_j$  in the complex plane as follows. First, let

$$h^{(j)} = \frac{(b-a)(2^m - 1)}{2^{mq_j}}$$

(for convenience, we set  $q_{N+1} = \infty$  (i.e.  $h^{(N+1)} = 0$ ) if  $j = N < \infty$ ). Second, define an interval

$$T_j = \begin{cases} [\theta_{a,b}^-(\frac{p_{j+1}}{q_{j+1}}), \theta_{a,b}^+(\frac{p_j}{q_j})], & \text{if } \frac{p_{j+1}}{q_{j+1}} < \frac{p_j}{q_j}, j \neq N, \\ [\theta_{a,b}^-(\frac{p_j}{q_j}), \theta_{a,b}^+(\frac{p_{j+1}}{q_{j+1}})], & \text{if } \frac{p_{j+1}}{q_{j+1}} > \frac{p_j}{q_j}, j \neq N, \\ [\theta_{a,b}^-(\frac{p_N}{q_N}), \theta_{a,b}^+(\frac{p_N}{q_N})], & \text{if } j = N < \infty. \end{cases}$$

Now, let  $\Omega_j$  be the rectangle

$$\Omega_j = \{t + ih: h^{(j+1)} \leq h \leq h^{(j)}, t \in T_j\}.$$

**Remark 1.2:** The main property we need from the set  $\Omega_j$  is that the angle of vision of the interval  $[\theta_{a,b}^-(\frac{p_j}{q_j}), \theta_{a,b}^+(\frac{p_j}{q_j})] \subset \mathbb{R}$  is bounded from below by an absolute positive constant when viewed from any point  $\omega \in \Omega_j$ .

**Remark 1.3:** The length of the interval  $T_j$  is given by

$$|T_j| = \frac{(b-a)(2^m - 1)}{(2^{mq_j} - 1)} \cdot \frac{(2^{mq_{j+1}} + 2^{mq_j} - 1)}{(2^{mq_{j+1}} - 1)}$$

(if  $j = N$ , the second factor disappears). In particular,

$$\frac{|T_j|}{h^{(j)}} \rightarrow 1, \quad \text{as } mq_j \rightarrow \infty \text{ and } m(q_{j+1} - q_j) \rightarrow \infty.$$

We assume also that if the period  $m \geq 2$ , then  $q_j \geq 4$ , i.e.

$$\frac{p_j}{q_j} \neq \frac{1}{2}, \frac{1}{3}, \frac{2}{3}.$$

**THEOREM 1.1:** *If the cycle  $\bar{\alpha}^0 = \bar{\alpha}(c_0)$  satisfies the two-digit conditions (C1)–(C4), then*

- (A) *the cycle  $\bar{\alpha}(c)$  satisfies the two-digit conditions (C1)–(C4) for every  $c$  with natural parameters  $h_c > 0$  and  $t_c \in T_j$ ;*
- (B) *there exists a bounded function  $\aleph$  of two variables,*

$$\aleph = \aleph(mq_j, m(q_{j+1} - q_j)),$$

*such that for some branch  $\log \lambda_c$  of the logarithm of the multiplier  $\lambda_c$  of  $\bar{\alpha}(c)$ , we have*

$$\log \lambda_c \in D\left(\frac{p_j}{q_j}, m, \aleph\right) := \left\{ z: \left| z - \left( \frac{m\aleph}{q_j} + \frac{2\pi i p_j}{q_j} \right) \right| < \frac{m\aleph}{q_j} \right\}$$

*whenever  $t_c + ih_c \in \Omega_j$ . Moreover,*

$$\lim \aleph(mq_j, m(q_{j+1} - q_j)) = 4 \log 2$$

*as  $mq_j \rightarrow \infty$  and  $m(q_{j+1} - q_j) \rightarrow \infty$ .*

**Remark 1.4:** Actually we will prove part (A) of the theorem in more general form: see Theorem 4.1.

An immediate consequence of the theorem is the following

**COROLLARY 1.1:** *Under the conditions of Theorem 1.1, if  $t_c \in T_j$  and  $h_c \leq h^{(j)}$ , then  $\log \lambda_c$  belongs to the convex hull of the balls  $D(\frac{p_j}{q_j}, m, \aleph)$  and  $D(\frac{p_{j+1}}{q_{j+1}}, m, \aleph)$ .*

In particular, if  $N = \infty$  and  $j \rightarrow \infty$ , then  $\log \lambda_c \rightarrow 2\pi i \nu_0$ . This fact, together with the Douady–Hubbard description, yields the following

**COROLLARY 1.2:** *Let  $c_0$  belong to an external ray  $R_{t_0}^M$  of the Mandelbrot set at angle  $t_0$ . This ray lands at a unique point  $c_*$  of  $\partial W$  with an irrational internal angle  $\nu^0$  if and only if there exists a cycle  $\bar{\alpha}(c_0)$  of  $f_{c_0}$ , which satisfies conditions (C1)–(C3). Moreover, if  $t_c \in T_j$  and  $h_c \leq h^{(j)}$ ,  $j = 1, 2, \dots$ , then*

$$|\log \lambda_c - 2\pi i \nu^0| < \frac{K_j m}{q_j},$$

*where  $K_j \rightarrow 8 \log 2$  as  $j \rightarrow \infty$ .*

**Remark 1.5:** Note that the distance between  $\nu_0$  and one of the ends of the interval  $T_j$  is  $\sim (b-a)2^{-m(q_j-1)} \sim h^{(j)}$ , and the distance between  $\nu_0$  and other end is  $\sim (b-a)2^{-m(q_{j+1}-1)}$ .

Now consider the case of rational rotation number. Let, under the conditions of the theorem,

$$\nu^0 = \frac{p}{q} = [a_1, a_2, \dots, a_N], \quad a_N = 1,$$

with  $q \geq 4$  if  $m \geq 2$ . For every  $k \in \mathbb{N}$ , let  $\tau_k^-$  be the smaller of the two numbers

$$[a_1, a_2, \dots, a_N, k], \quad [a_1, a_2, \dots, a_{N-1} + a_N, k],$$

and let  $\tau_k^+$  be the larger. Then  $\tau_k^+ \searrow \frac{p}{q}$ ,  $\tau_k^- \nearrow \frac{p}{q}$  as  $k \rightarrow \infty$ .

Let, for example,  $N$  be even. It follows from Corollary 1.1 that if

$$0 < t_c - \theta_{a,b}^+\left(\frac{p}{q}\right) \leq \frac{(b-a)(2^m-1)2^{mq}}{2^{mq}-1} \frac{1}{2^{mqk+mq_{N-1}}},$$

$$0 < h_c \leq \frac{2^{mq}-1}{2^{mq}} \left(t_c - \theta_{a,b}^+\left(\frac{p}{q}\right)\right),$$

then

$$(1.1) \quad \left| \log \lambda_c - \frac{2\pi ip}{q} \right| < \frac{\aleph_0 m}{kq + q_{N-1}}$$

where  $\aleph_0$  is an absolute constant. To obtain a similar inequality for the left point  $\theta_{a,b}^-\left(\frac{p}{q}\right)$ , one needs only to replace  $q_{N-1}$  in the above with  $q_{N-2}$ . This implies the following

**COROLLARY 1.3:** *Let the cycle  $\bar{\alpha}(c_0)$  satisfy (C1)–(C4) with rational rotation number  $\nu^0 = \frac{p}{q}$  (in reduced form), and  $q \geq 4$  if  $m \geq 2$ . Then two rays  $R_{\theta_{a,b}^\pm(\nu^0)}^M$  of  $M$  land at a common point  $c_*$  of  $\partial W$ ; this point has internal argument  $\nu^0$ .*

## 2. Preliminary notions and constructions

**2.1. THE HEDGEHOG** (cf. [SY], [LS]). Fix a polynomial  $f = f_c$  with disconnected Julia set. Given an angle (slope)  $\tau \in (0, \pi)$ , we construct the  $\tau$ -**hedgehog** as follows.

We know that the Böttcher function  $B(z)$  is well defined on  $G_0$  and satisfies there the functional equation  $B(f(z)) = [B(z)]^2$ . This equation yields an analytic

(infinitely valued) continuation of the function  $B(z)$  on the whole domain  $A$ . The continued function has branch points at the points of the set

$$C(\infty) = \bigcup_{m=0}^{\infty} f^{-m}(0).$$

We obtain a **single-valued** analytic extension of  $B(z)$  by cutting the domain  $A$  along certain lines (which we call  $\tau$ -cuts).

Let  $z \in A \setminus C(\infty)$ . There exists a unique maximal  $C^1$ -curve passing through  $z$  which meets any level line  $\Gamma(r)$  at the same angle  $\tau$ . We call this curve the  $\tau$ -**curve**  $R(z)$ . The direction of the  $\tau$ -curve is chosen so that the Green's function  $u(z)$  is decreasing along it. The origin of every  $\tau$ -curve is either  $\infty$  or some point in  $C(\infty)$ . In the former case the  $\tau$ -curve is called the  $\tau$ -**radius**, or **smooth  $\tau$ -ray** (of  $f$ ), in the latter case it is called the  $\tau$ -**cut**.

The Böttcher function  $B$  extends along every smooth ray. Let  $A_\tau$  denote the set of points which lie on smooth  $\tau$ -rays. Then  $A_\tau \cup \{\infty\}$  is simply-connected in the Riemann sphere. Its complement  $J_\tau$  is the Julia set completed by the  $\tau$ -cuts. The extended univalent function  $B^\tau$  maps the domain  $A_\tau$  one-to-one onto a **hedgehog-like** domain  $U_\tau$ . The boundary  $S_\tau = \partial U_\tau$  is called the  $\tau$ -**hedgehog** or **slanting hedgehog**.

It is convenient to straighten the hedgehog with the help of logarithmic coordinates. Consider exterior  $\mathbb{D}^*$  of the unit disk and its universal covering  $H = \{\zeta: \operatorname{Im} \zeta > 0\}$  with a covering anti-conformal projection  $p: H \rightarrow \mathbb{D}^*$ ,

$$p: \omega \mapsto \exp(2\pi i \bar{\omega}).$$

For every  $\omega \in H$  let  $L_\omega$  be a straight line through  $\omega$  which intersects the real line at the angle  $\tau$ . Let  $X_\tau(\omega)$  be the point of the intersection. We define

$$\arg_\tau(\omega) = X_\tau(\omega)(\bmod 1).$$

The preimage  $H_\tau = p^{-1}(U_\tau)$  of the hedgehog-like domain  $U_\tau$  is a universal covering of  $U_\tau$ . Moreover,

$$H_\tau = \overline{H} \setminus Q_\tau,$$

where  $Q_\tau = p^{-1}(S_\tau)$  is a one-periodic comb,  $\tau$ -**comb**. The map

$$\Phi = (B^\tau)^{-1} \circ p: H_\tau \rightarrow A_\tau$$

is an analytic unbranched covering.

Recall that  $\sigma_2(x) = 2x \pmod{1}$ ,  $\varrho_2: \omega \mapsto 2\omega$  and  $\tilde{\varrho}_2(x + iy) = \sigma_2(x) + i2y$ ,  $(x, y) \in [0, 1) \times \mathbb{R}$ .

**PROPOSITION 2.1** (cf. [LS]):

1.  $f: A_\tau \rightarrow A_\tau$ ,  $\varrho_2: H_\tau \rightarrow H_\tau$ , and

$$\Phi \circ \varrho_2 = f \circ \Phi \quad \text{in } H_\tau.$$

2. *The comb*

$$(2.1) \quad Q_\tau = \partial H_\tau = \left\{ [0, 1) \bigcup \bigcup_{n=1}^{\infty} \bigcup_{\tilde{\varrho}_2^n(x)=t_c+ih_c} N_x \right\} + \mathbb{Z},$$

where  $N_x = \{\omega: 0 \leq \text{Im}(\omega) \leq \text{Im}(x), \arg_\tau \omega = \arg_\tau x\}$ .

The segments  $N_x$ , with  $x$  as in (2.1), will be called the  $\tau$ -**needles** of the comb  $Q_\tau$ . The ground of this comb is the real axis, and the ends  $x$  of the needles of  $Q_\tau$  are the points with coordinates

$$\omega(n, k) = \frac{t_c + k}{2^n} + i \frac{h_c}{2^n},$$

where  $n \in \mathbb{N}$ ,  $k \in \mathbb{Z}$ , and  $\exp(2\pi(h_c + it_c)) = B(c)$ . We will call the positive integer  $n$  here the **level of the needle**. We will call the segment  $N_{t_c+ih_c}$  the **generating segment** of the comb  $Q_\tau$ . Note that all needles are the pre-images of the generating segment under  $\tilde{\varrho}_2$  shifted by  $\mathbb{Z}$ .

*Remark 2.1:* We consider the anti-conformal projection  $p$  instead of the conformal one for convenience, so that the comb  $Q_\tau$  lies in the upper half-plane. A property we shall need is that the anti-conformal as well as conformal maps do not change modulus of families of curves [Ah].

**2.2. THE EXTERNAL RAYS AND ANGLES** (cf. [DH2],[GM]). We define the  $\tau$ -**rays** as follows. Note that usual (orthogonal) external rays correspond to  $\tau = \pi/2$ . The  $\tau$ -ray is just a  $\tau$ -radius  $R$ , if  $R$  extends up to the Julia set (i.e.  $R$  does not end at a point of  $C(\infty)$ ). Let the endpoint of  $R$  be a point of  $C(\infty)$ . Then the full preimage  $\Phi^{-1}(R)$  is  $L + \mathbb{Z}$ , where the straight ray  $L$  lands at the top  $x$  of some needle  $N_x$ . The function  $\Phi$  extends to two continuous functions

on either side of  $N_x$ . This allows us to define the two  $\tau$ -rays corresponding to the  $\tau$ -radius  $R$  as the images of two sides of the curve

$$L \cup N_x = \{\omega \in H: 0 < \operatorname{Im}(\omega) < \infty, \arg_\tau \omega = t\}, \quad t = \arg_\tau x;$$

This gives the **right** and **left limit rays**  $R^+$  and  $R^-$ .

Every  $\tau$ -ray  $R$  has an **external  $\tau$ -argument**: this is the  $\arg_\tau$  of the points of  $\Phi^{-1}(R)$ . In particular, the right and left limit rays  $R^\pm$  corresponding to the  $\tau$ -radius  $R$  have the same external  $\tau$ -argument; this is the  $\tau$ -argument of  $R$ .

**2.3. TWO PROPERTIES OF THE HEDGEHOG.** The first property is, in fact, a property of the comb  $Q_\tau$  itself, so we shall forget about the polynomial  $f$  and only observe the geometry of  $Q_\tau$ . The second property is related to the construction of the domain  $A_\tau$ .

Let  $X = \{x_1, \dots, x_n\}$  be a cycle of the map  $\sigma_2: [0, 1) \rightarrow [0, 1)$ , and let  $\tilde{X} = X + \mathbb{Z}$ . Define two values (of angles)  $\gamma^{(r)}(X) \in (0, \pi - \tau)$ ,  $\gamma^{(\ell)}(X) \in (0, \tau)$  as follows. Look at the generating segment  $N_{t_c + ih_c}$  of  $Q_\tau$ , and find the point  $x^{(r)}$  of the set  $\tilde{X}$  which is closest to  $N_{t_c + ih_c}$  from the right. Let us consider the triangle  $\Delta^{(r)}$  with a vertex  $x^{(r)}$  and the opposite side  $N_{t_c + ih_c}$ . Then the angle  $\gamma^{(r)}(X)$  is said to be an angle of  $\Delta^{(r)}$  at the vertex  $t_c + ih_c$ . The angle  $\gamma^{(\ell)}(X)$  is defined in an analogous fashion, but using the point  $x^{(\ell)}$  of  $\tilde{X}$  which is closest to  $N_{t_c + ih_c}$  from the left, and the corresponding triangle  $\Delta^{(\ell)}$ .

**LEMMA 2.1** (the first property, cf. [LS]): *For every  $x \in \tilde{X}$ , the angles*

$$\begin{aligned} W_\tau^{(r)}(x) &= x + \{\omega \in H: \pi - \tau - \gamma^{(r)}(X) < \arg \omega < \pi - \tau\}, \\ W_\tau^{(\ell)}(x) &= x + \{\omega \in H: \tau - \gamma^{(\ell)}(X) < \arg \omega < \tau\} \end{aligned}$$

*belong to  $H_\tau$ , and they are the maximal open angles at the top  $x \in \tilde{X}$  with this property (here  $\arg \omega$  is the standard  $\pi/2$ -argument of  $\omega \in \mathbb{C} \setminus \{0\}$ ).*

*If  $x$  is not a base of any needle, then the angle*

$$W_\tau(x) = W_\tau^{(r)}(x) \bigcup W_\tau^{(\ell)}(x) \bigcup \{\omega \in H: \arg_\tau \omega = \arg_\tau x\}$$

*of the value  $\gamma(X) = \gamma^{(\ell)}(X) + \gamma^{(r)}(X)$  also belongs to  $H_\tau$ .*

**Proof:** Use that the map  $x + iy \mapsto 2.x + i2.y$  acts in  $H_\tau$  as well as in  $Q_\tau$  whenever  $y \leq h_c/2$ .

**Remark 2.2:** The value  $\gamma(X)$  is the **angle of vision** of the interval  $(x^{(\ell)}, x^{(r)}) \subset \mathbb{R}$  from the point  $t_c + ih_c \notin \mathbb{R}$ .

To formulate the second property, let us consider the boundary  $\Gamma_0$  of one component of  $\text{int}K(h/2^m)$ . Let  $\Lambda_0 \subset \mathbb{T}$  be the compact set of external arguments of the  $\tau$ -rays which cross  $\Gamma_0$ . Fix  $t_1, t_2 \in \Lambda_0$  and  $t_1 \neq t_2$ . These split  $\mathbb{T}$  into two open parts; let  $I_0$  be the shortest one.

**LEMMA 2.2** (the second property): *Let some point  $t_0 \in I_0$  and  $t_0 \notin \Lambda_0$ . Then the length of  $I_0$  is not less than  $2^{-m}$ .*

*Proof:* Consider a simply-connected domain  $\Omega = A_\tau \cap G(h/2^m)$ . Its boundary  $\partial\Omega$  consists of the curves  $\Gamma(h/2^m)$  joined by  $\tau$ -cuts. In particular,  $\Gamma_0 \subset \partial\Omega$ . Let  $z_0, z_1$ , and  $z_2$  be the points of intersections of  $R_{t_0}^\tau, R_{t_1}^\tau$  and  $R_{t_2}^\tau$  with  $\partial\Omega$ . Then we have  $z_1, z_2 \in \Gamma_0, z_0 \notin \Gamma_0$ . Let us go around  $\partial\Omega$  from  $z_1$  to  $z_2$  through  $z_0$  (the domain  $\Omega$  stays on one side). At some point  $z_* \in \Gamma_0$ , we leave  $\Gamma_0$  along one side of a cut  $\ell_* \ni z_0$  and then return to  $\Gamma_0$  from another side of  $\ell_*$ . The point  $z_* \in \ell_* \cap \Gamma_0$  corresponds to two points  $t'_*$  and  $t''_*$  in the arc  $I_0 = (t_1, t_2)$  such that  $|t'_* - t''_*| = \ell/2^k$  for some  $\ell \in \mathbb{N}$  and  $k \in \{1, 2, \dots, m\}$ . ■

**Remark 2.3:** The following will be important. Let  $t \in I_0$  be **any** point such that, for some  $k = 1, 2, \dots, m$ ,  $t$  is the base of a needle of the level  $k$ . Then  $t$  cannot belong to  $\Lambda_0$  and, hence, the length of  $I_0$  is not less than  $2^{-m}$ . In fact,  $t$  is the  $\tau$ -argument of the left and the right rays, and one of them does not intersect  $\Gamma_0$ .

**2.4. THE  $\tau$ -ROTATION SET AND  $\tau$ -ROTATION NUMBER OF A PERIODIC POINT.** Let  $a$  be a point of the Julia set  $J$ . The set of all  $\tau$ -arguments of the  $\tau$ -rays landing at  $a$  is denoted by  $\Lambda_\tau(a)$ . This is a non-empty, closed, proper subset of  $\mathbb{T}$ .

Additionally, let  $a$  be a repelling fixed point of  $P = f^m$ . Then  $\Lambda_\tau(a)$  is invariant under the action of  $\sigma_d: \mathbb{T} \rightarrow \mathbb{T}$ , where  $d = 2^m$ . Moreover,  $\Lambda_\tau(a)$  is a rotation set of  $\sigma_d$  with a rotation number  $\nu_\tau(a)$ .

### 3. Rotation sets with two symbols

We call a rotation set  $\Lambda$  of  $\sigma_d$  a **rotation set with two symbols**  $a, b \in \{0, 1, \dots, d-1\}$  if one can write every point  $\theta \in \Lambda$  as

$$\theta = \sum_{i=1}^{\infty} \frac{\varepsilon_i}{d^i},$$

with  $\varepsilon_i \in \{a, b\}$ . We will need the following information on the rotation sets of  $\sigma$  with two symbols. In what follows we fix  $d$  and the symbols  $a$  and  $b$ . Replacement of  $a$ ,  $b$ , and  $d$  by 0, 1, and 2 respectively gives a one-to-one correspondence  $\varphi$  between the rotation set of  $\sigma_d$  with symbols  $a, b$  and those of  $\sigma_2$ . The rotation sets of  $\sigma_2$  are well-studied (see [V], [BS] and [DH1]). The following statement easily follows from [BS], with some remarks about the distances (note that the correspondence  $\varphi$  does not preserve distances).

**PROPOSITION 3.1** (cf. [V], [BS]): *For each  $\nu \in [0, 1)$  there exists a unique closed minimal  $\sigma_d$ -rotation set  $\Lambda^\nu$  with two symbols  $a, b$ .*

- I. *For rational  $\nu = \frac{p}{q} \neq 0$  (in reduced form), the set  $\Lambda^\nu$  is a  $\sigma_d$ -cycle of period  $q$ . If  $\nu = 0$ , then  $\Lambda^0$  is  $a/(d-1)$  or  $b/(d-1)$ .  
Let  $\nu \neq 0$ . There exist uniquely defined rationals*

$$\theta_1^-(\nu) \leq \theta^-(\nu) < \theta^+(\nu) \leq \theta_1^+(\nu),$$

*such that*

- (i)  *$\theta^-(\nu)$  and  $\theta^+(\nu)$  are adjacent and are the closest points of  $\Lambda^{p/q}$ , the points  $\theta_1^\pm(\nu)$  are the extreme points of  $\Lambda^\nu$ :*

$$\Lambda^\nu \subset [\theta_1^-(\nu), \theta_1^+(\nu)],$$

$$\text{and } \sigma_d(\theta_1^+(\nu)) = \theta^-(\nu), \sigma_d(\theta_1^-(\nu)) = \theta^+(\nu);$$

(ii)

$$(3.1) \quad \Delta(\nu) = \theta^+(\nu) - \theta^-(\nu) = \frac{(d-1)(b-a)}{d^q - 1},$$

*and for every two adjoint rationals  $\nu = p/q$  and  $\nu' = P/Q$ ,  $Qp - Pq = 1$ , we have:*

$$\theta^-(\nu) - \theta^+(\nu') = \frac{(b-a)(d-1)}{(d^q - 1)(d^Q - 1)}.$$

- II. *For every irrational  $\nu \in (0, 1)$  there exists a unique real number  $0 < \theta(\nu) < 1$  such that*

- (i) *the closure of its  $\sigma_d$ -orbit is  $\Lambda^\nu$ ;*  
(ii) *the extreme points  $\theta_1^-(\nu)$  and  $\theta_1^+(\nu)$  of  $\Lambda^\nu$  are preimages of  $\sigma_d$ :*

$$\Lambda^\nu \subset [\theta_1^-(\nu), \theta_1^+(\nu)], \quad \sigma_d(\theta_1^\pm(\nu)) = \theta(\nu).$$



III. If  $\nu_0 < \nu_1 < \nu_2$ , with  $\nu_0, \nu_2$  rationals and  $\nu_1$  irrational, then

$$\theta^+(\nu_0) < \theta(\nu_1) < \theta^-(\nu_2).$$

For every  $\theta \in (\frac{a}{d-1}, \frac{b}{d-1})$ , there exists the unique  $\nu \in \mathbb{T}$ , such that either  $\theta = \theta(\nu)$ , with  $\nu$  irrational, or  $\theta \in [\theta^-(\nu), \theta^+(\nu)]$ , with  $\nu$  rational. Moreover,  $\nu \in (0, 1)$  is a nondecreasing function of  $\theta$ . (In fact, it is a devil's staircase.)

**Remark 3.1:** Comparing with the notation at the end of the introduction, we have  $\theta_{a,b}^\pm(\nu) = \theta^\pm(\nu)$  ( $\nu$  rational), and  $\theta_{a,b}(\nu) = \theta(\nu)$  ( $\nu$  irrational).

We will denote

$$\theta^-(\nu) = \theta^+(\nu) = \theta(\nu) \quad \text{if } \nu \text{ irrational.}$$

**Proof of Proposition 3.1:** By [BS], lemma 1 (iii),  $d$ -expansions of  $\theta^\pm(\frac{p}{q})$  are

$$\theta^+\left(\frac{p}{q}\right) = 0(\varepsilon_1 \varepsilon_2 \dots \varepsilon_{q-2} ba) \quad \text{and} \quad \theta^-\left(\frac{p}{q}\right) = 0(\varepsilon_1 \varepsilon_2 \dots \varepsilon_{q-2} ab),$$

where  $\varepsilon_i \in \{a, b\}$ , and the brackets denote a repeated block of symbols. This implies (3.1) and also that  $\theta^\pm(\frac{p}{q})$  are the nearest points of  $\Lambda^{p/q}$ . The rest of I(ii) also follows from  $d$ -expansions of  $\theta^\pm(\frac{pN-1}{qN-1})$ , see [BS].

**Remark 3.2:** The numbers  $\theta^\pm(\nu), \theta(\nu)$  are constructed by an explicit algorithm. For instance,

$$\begin{aligned} \theta^-\left(\frac{1}{2}\right) &= 0.(ab), & \theta^+\left(\frac{1}{2}\right) &= 0.(ba), \\ \theta^-\left(\frac{1}{3}\right) &= 0.(aab), & \theta^+\left(\frac{1}{3}\right) &= 0.(aba), & \theta^-\left(\frac{2}{3}\right) &= 0.(bab), & \theta^+\left(\frac{2}{3}\right) &= 0.(bba). \end{aligned}$$

Let

$$J^{(a)} = \left(\frac{a}{d-1}, \frac{a+1}{d}\right) \quad \text{and} \quad J^{(b)} = \left(\frac{b}{d}, \frac{b}{d-1}\right).$$

A rotation set  $\Lambda^\nu$ ,  $\nu \neq 0$ , splits into two non-empty parts  $\Lambda_a^\nu$  and  $\Lambda_b^\nu$ :

$$\Lambda_\varepsilon^\nu = \Lambda^\nu \cap J^{(\varepsilon)}, \quad \varepsilon \in \{a, b\}.$$

Denote by  $I_a^\nu = [\theta_1^-(\nu), \theta^a(\nu)]$  and  $I_b^\nu = [\theta^b(\nu), \theta_1^+(\nu)]$  two minimal closed intervals containing  $\Lambda_a^\nu$  and  $\Lambda_b^\nu$ . It is easy to understand that  $\sigma_d(\theta^a(\nu)) = \theta_1^+(\nu)$  and  $\sigma_d(\theta^b(\nu)) = \theta_1^-(\nu)$ .

In Section 4 we will use the following. For every  $t \in (\frac{a}{d-1}, \frac{b}{d-1})$ , there exist unique points  $t^{(\ell)} \in J^{(a)}$  and  $t^{(r)} \in J^{(b)}$ , such that

$$\sigma_d(t^{(\ell)}) = \sigma_d(t^{(r)}) = t.$$

Thus, we can find unique points  $t^a \in J^{(a)}$  and  $t^b \in J^{(b)}$  for which

$$\sigma_d(t^a) = t^{(r)} \quad \text{and} \quad \sigma_d(t^b) = t^{(\ell)}.$$

The points  $t^{(\ell)}$ ,  $t^{(r)}$ ,  $t^a$ , and  $t^b$  are increasing functions of  $t$ , and  $t^{(\ell)} < t^a < t^b < t^{(r)}$ . Set

$$L_{a,b}(t) = (t^{(\ell)}, t^a) \cup (t^b, t^{(r)}).$$

Then we have:

$$\begin{aligned} \theta_1^-(\nu) &= (\theta^+(\nu))^{(\ell)}, & \theta_1^+(\nu) &= (\theta^-(\nu))^{(r)}, \\ \theta^a(\nu) &= (\theta^-(\nu))^a, & \theta^b(\nu) &= (\theta^+(\nu))^b. \end{aligned}$$

Now, if  $t \in [\theta^-(\nu), \theta^+(\nu)]$ , then  $\Lambda^\nu \subset \overline{L_{a,b}(t)}$ . Moreover,  $I_a^\nu \subset [t^{(\ell)}, t^a]$ ,  $I_b^\nu \subset [t^b, t^{(r)}]$ , and we have the equalities iff  $t = \theta^-(\nu) = \theta^+(\nu)$ , i.e. if  $\nu$  is irrational.

#### 4. Proof of the theorem: Part (A)

In this section we prove a statement which is more general than Part (A) of Theorem 1.1. Recall that we fixed  $d = 2^m$  and the symbols  $a, b \in \{0, 1, \dots, d-1\}$ .

**THEOREM 4.1:** *Let the cycle  $\bar{\alpha}(c_0)$  satisfy the two-digit conditions (C1)–(C4). Let  $T$  be an open interval such that  $t_{c_0} \in T$  and*

$$(4.1) \quad T \subset (J^a \cup J^b) \setminus \left( \left[ \theta^-\left(\frac{1}{3}\right), \theta^+\left(\frac{1}{3}\right) \right] \cup \left[ \theta^-\left(\frac{2}{3}\right), \theta^+\left(\frac{2}{3}\right) \right] \cup \left[ \theta^-\left(\frac{1}{2}\right), \theta^+\left(\frac{1}{2}\right) \right] \right).$$

*For the natural parameters  $t_c$  and  $h_c$  of some  $c \in \mathbb{C} \setminus M$ , and for some slope  $\tau$ , we have  $t_c^\tau = \arg_\tau(t_c + ih_c)$  lying in  $T$ , that is, there exists a unique  $\nu \in (0, 1)$  such that  $t_c^\tau = \theta(\nu)$  or  $t_c^\tau \in [\theta^-(\nu), \theta^+(\nu)]$ . Then the  $\tau$ -rotation number of the cycle  $\alpha(c)$  is equal to  $\nu$  and the  $\tau$ -rotation set of  $\alpha(c)$  contains  $\Lambda^\nu$ .*

We shall start to prove this theorem. By condition (C1), the unique minimal closed  $\sigma_d$ -rotation set  $\Lambda^{\nu^0}$  with two digits  $a, b$  is contained in  $\Lambda_{\pi/2}(\alpha_1^0)$ , for a point  $\alpha_1^0 \in \bar{\alpha}(c_0)$ . Here  $\nu^0 \in (0, 1)$  is the  $\pi/2$ -rotation number of  $\bar{\alpha}(c_0)$ . By (C3)–(C4),  $t_0 = t_{c_0} \in [\theta_{a,b}^-(\nu^0), \theta_{a,b}^+(\nu^0)]$ .

Without loss of generality we may assume  $t_0 \in J^{(a)}$ . We will say that a point  $x \in \mathbb{T}$  has  $t$ -level  $k$ , if  $\sigma_2^k(x) = t$ .

LEMMA 4.1: *There are no points of  $t_0$ -levels  $k \leq m$  in  $L_{a,b}(t_0)$ .*

*Proof:* Suppose some point  $x$  of  $t_0$ -level  $k \leq m$  lies in  $L_{a,b}(t_0)$ . If  $x \in (\theta_1^-(\nu^0), \theta^a(\nu^0))$ , then  $|\theta_1^-(\nu^0) - \theta^a(\nu^0)| \geq 1/2^m$  by Remark 2.3 (following Lemma 2.2). This gives a contradiction. Similarly,  $x \notin (\theta^b(\nu^0), \theta_1^+(\nu^0))$ . In particular, this proves the lemma if  $\nu^0$  is irrational. Now let  $\nu^0$  be rational. Assume  $x \in (t_0^{(\ell)}, \theta_1^-(\nu^0)]$ . Then  $y = \sigma_2^k(\theta_1^-(\nu^0)) \in (t_0, \theta^+(\nu^0))$ . It follows that  $k < m$ . Hence the ray  $R_y^{\pi/2}$  of the argument  $y$  lands at a point of the cycle  $\bar{\alpha}^0$  different from  $\alpha_1^0$ . It contradicts Lemma 2.2. Assume now that  $x \in [\theta^a(\nu^0), t_0^0)$ . In this case  $y = \sigma_2^k(\theta^a(\nu^0)) \in (\theta^-(\nu^0), t_0)$ , and again the ray  $R_y^{\pi/2}$  lands at a point of the cycle  $\bar{\alpha}^0$  different from  $\alpha_1^0$ . Contradiction. The case  $x \in (t_0^b, \theta^b(\nu^0)] \cup [\theta_1^+(\nu^0), t_0^{(r)})$  can be handled similarly.

LEMMA 4.2: *For every  $t \in T$ , there are no points of the  $t$ -levels  $k \leq m$  in  $L_{a,b}(t)$ .*

*Proof:* The set of the points of a  $t$ -level  $k$  are values of  $2^k$  continuous functions on  $t \in J^{(a)}$ :

$$t_{r,k} = \frac{t+r}{2^k}, \quad r = 0, 1, \dots, 2^k - 1.$$

Assume that for some  $t_1 \in T \subset J^{(a)}$  a point of  $t_1$ -level  $\leq m$  is in  $L_{a,b}(t_1)$ . Let, for example,  $t_1 > t_0$ . Consider the interval  $(t_0, t_1) \subset J^{(a)}$ . The set  $L_{a,b}(t)$  is open. Hence there exists a  $t_* \in (t_0, t_1)$  such that: (1) for  $t \in (t_0, t_*]$ , there are no points of  $t$ -levels  $\leq m$  in  $L_{a,b}(t)$ ; (2) for some  $\varepsilon > 0$  and for every  $t \in (t_*, t_* + \varepsilon)$  there is a point of  $t$ -level  $i \leq m$  in  $L_{a,b}(t)$ , and, for  $t = t_*$ , a point  $x$  of the  $t_*$ -level  $i$  is a boundary point of  $L_{a,b}(t_*)$ . Note that  $i = m$  is impossible, because otherwise, for  $t \in (t_*, t_* + \varepsilon)$ ,  $L_{(a,b)}$  would contain 3 points of level  $m$ . So  $1 \leq i \leq m - 1$ .

Two different cases are possible.

- (a)  $x = t_*^{(\ell)}$  or  $t_*^{(r)}$ . Let, for example,  $x = t_*^{(r)}$ . Then  $\sigma_2^i(t_*^{(r)}) = \sigma_2^m(t_*^{(r)}) = t_*$ . Then  $\sigma_2^{m-i}(t_*) = t_*$ , i.e.  $t_*$  is an interior point of  $L_{a,b}(t_*)$  of a level  $< m$ , hence, for all  $t$  close to  $t_*$  there is a point of the same  $t$ -level in  $L_{a,b}$ . Contradiction.
- (b)  $x = t_*^a$  or  $t_*^b$ . Let, for example,  $x = t_*^a$  be a point of the level  $i < m$ . Then

$$\sigma_2^{2m-i}(t_*) = t_*.$$

Set  $y = \sigma_2^m(t_*)$ . Then  $y$  is a point of  $t_*$ -level  $m - i$ ,  $1 \leq m - i \leq m - 1$ . Because of the condition (4.1), we get that  $y \in L_{a,b}(t_*)$ . Hence a point of the same level  $m - i$  preserves in some neighborhood of  $t_*$ . Contradiction.

The proof of Theorem 4.1 proceeds as follows. Let, for some  $c_1 \in \mathbb{C} \setminus M$  and slope  $\tau_1$ ,  $t_{c_1}^{\tau_1} \in T$ . Join  $c_1$  and  $c_0$  by a closed arc  $\ell \subset \mathbb{C} \setminus M$  and find a continuous function  $\tau(c) \in [\tau_1, \pi/2]$ , with  $\tau(c_0) = \pi/2$ ,  $\tau(c_1) = \tau_1$ , such that  $t_c^{\tau(c)} \in T$  if  $c \in \ell$ . By Lemmas 4.1 and 4.2, for every  $c \in \ell$  and corresponding  $\tau = \tau(c)$ , the open intervals

$$I_c^a = \{\omega = t + ih: h = \frac{h_c}{d}, (t_c^\tau)^{(\ell)} < t < (t_c^\tau)^a\},$$

$$I_c^b = \{\omega = t + ih: h = \frac{h_c}{d}, (t_c^\tau)^b < t < (t_c^\tau)^{(r)}\}$$

belong to comb-domain  $H_\tau$  of  $f_c$ . Then we can apply the map  $\Phi_c = (B_c^\tau)^{-1} \circ p: H_\tau \rightarrow (A_c)_\tau$ , and obtain that the following subsets of  $\Gamma(\frac{h_c}{d})$

$$\Gamma_1 = \Phi_c(I_c^a) \quad \text{and} \quad \Gamma_2 = \Phi_c(I_c^b),$$

are curves and change continuously as  $c \in \ell$ . For  $c = c_0$ ,  $\Gamma_1$  and  $\Gamma_2$  are arcs of the boundary of the component of  $\text{int}K(h_{c_0}/d)$ , which contains  $\alpha_1(c_0)$ . Therefore, for every  $c \in \ell$ ,  $\Gamma_1$  and  $\Gamma_2$  are the arcs of the boundary of the component  $K_1(c)$  of  $\text{int}K(h_c/d)$ , which contains  $\alpha_1(c)$ .

On the other hand, for some  $\nu \in (0, 1)$

$$\theta^-(\nu) \leq t_c^\tau \leq \theta^+(\nu),$$

and  $\Lambda^\nu \subset \overline{L_{a,b}(t_c^\tau)}$ . It follows that the ray  $R_\theta^\tau$ , with  $\theta = \theta^-(\nu)$ , lands inside the component  $K_1(c)$  together with all iterations  $f^{mk}(R_\theta^\tau)$ ,  $k = 1, 2, 3, \dots$ . Let  $z_0$  be the landing point of  $R_\theta^\tau$ , and let  $g_1 = f^{-m}: K(h_c) \rightarrow K_1(c)$  be a branch of  $f^{-m}$  such that  $\alpha_1(c) = \bigcap_{k=0}^\infty g_1^k(K_1(c))$ . We have proved that

$$z_0 \in g_1^k(K_1(c)),$$

for every  $k = 0, 1, \dots$ . Thus,  $z_0 = \alpha_1(c)$  and  $\Lambda^\nu \subset \Lambda_\tau(\alpha_1(c))$ . Theorem 4.1 is proved. Part (A) of Theorem 1.1 corresponds to the case  $\tau = \pi/2$  in Theorem 4.1.

## 5. Angle of access and the basic inequality

In this section we consider an arbitrary repelling fixed point  $a$  of the polynomial  $P = f^m$ , where  $f = f_c$  and  $c \in \mathbb{C} \setminus M$ . Note that the result of this section, Theorem 5.1, and its proof, hold without any changes for a repelling fixed point of any nonlinear polynomial  $P$ .

**5.1. A TORUS.** Consider a branch  $g = P^{-1}$ , such that  $g(a) = a$ , defined in a small disc  $D_\epsilon$  around the point  $a$ . The action of  $g$  defines a torus  $S$  if, for every  $x$ , we identify the points  $g^n(x)$ ,  $n \in \mathbb{N}$ .

Let us linearize the map  $g: D_\epsilon \rightarrow D_\epsilon$  around its attracting point  $a$  by a univalent function  $F$  which is holomorphic in  $D$  (the **Königs** coordinate function):

$$F \circ g(z) = \frac{1}{\lambda} F(z),$$

with  $\lambda = P'(a)$ ,  $F(a)=0$ , and  $F'(a) = 1$ . We will use definitions from [P]. Let  $W = F(D)$ ,  $\psi = F^{-1}: W \rightarrow D$  and  $\tilde{W} = \exp^{-1}(W)$ . Denote

$$\tilde{\psi}: \tilde{W} \rightarrow D, \quad \tilde{\psi}(z) = \psi \circ \exp(z).$$

Suppose  $L$  is any logarithm of the multiplier  $\lambda$  of  $P$ , i.e.  $\exp(L) = \lambda$ . Then  $\tilde{\psi}$  conjugates translation by  $L$  and  $P$ . Moreover, the torus  $S$  is conformally equivalent to  $\mathbb{C}/\Pi$ , with  $\Pi = L \circ \mathbb{Z} \times 2\pi i \circ \mathbb{Z}$ . Let  $\Gamma: \mathbb{C} \rightarrow \mathbb{C}/\Pi \simeq S$  denote the corresponding canonical covering. Let  $\gamma: \mathbb{T} \rightarrow S$  be a non-trivial (i.e. not homotopic to a point) Jordan curve in  $S$ . Then there exist  $p, q \in \mathbb{Z}$ , with  $(p, q) = 1$ , such that any lifting  $\tilde{\gamma}$  of  $\gamma$  by  $\Gamma$  satisfies

$$\tilde{\gamma}(1) = \tilde{\gamma}(0) + q \cdot L - 2\pi i \cdot p.$$

The number  $\sigma = qL - p \cdot 2\pi i$  does not depend on the choice of generator  $L$ . Changing the orientation of  $\gamma$  if necessary, we can suppose that  $q \geq 0$ . If  $q = 0$ , then  $p = \pm 1$ . Further, if  $q > 0$  then changing  $L$  by  $+2\pi i$  the number  $p$  is changed to  $p + q$ . Thus for suitable choice of  $L$  we have  $p \in \{0, 1, \dots, q-1\}$ . With this normalization the number  $p/q$  is called the **combinatorial rotation number of the closed curve  $\gamma \subset S$** . We denote by  $\Gamma_{p,q}$  the family of the curves on  $S$  with the same combinatorial rotation number  $p/q$ ,  $q \geq 1$ .

**5.2. THE BASIC INEQUALITY.** The rotation number  $\nu_\tau(a)$  and the rotation set  $\Lambda_\tau(a)$  of the point  $a$  are well defined for every slope  $\tau \in (0, \pi)$ .

Let us fix the slope  $\tau$  and consider the corresponding comb  $Q_\tau$ .

We shall now define the **angle of access to the point  $a$** . Let  $\theta \in \Lambda_\tau(a)$ . Then there exists a  $\tau$ -ray  $R_\theta^\tau$  that lands at the point  $a$ ; if  $R_\theta^\tau$  contains a cut, then it is either the right  $R_\theta^+$  or the left  $R_\theta^-$  limit ray. Suppose the rotation number of  $a$  is rational, that is,  $\nu_\tau(a) = p/q$ . Then  $\theta$  is a point of a cycle  $\bar{\theta}$  of  $\sigma_2$ . We will use notation from Lemma 2.1 (the first property of the hedgehog).

**Definition 5.1:** The angle of access to the cycle  $\bar{\theta}$  is the value  $\gamma(\bar{\theta})$ , if  $R_{\theta}$  does not contain a  $\tau$ -cut; otherwise, it is either  $\gamma^{(r)}(\bar{\theta})$  or  $\gamma^{(l)}(\bar{\theta})$  depending on whether the right or the left ray lands at  $a$ .

The angle of access to a fixed point  $a$  is the sum  $\phi_{\tau}(a)$  of the angles of access to all different cycles of  $\sigma_d$ ,  $d = 2^m$ , in  $\Lambda_{\tau}(a)$ .

The main result of this section is the following

**THEOREM 5.1** (see also [L1]): *Let, for some  $\tau$ ,  $\nu_{\tau}(a) = \frac{p}{q}$  be rational (in reduced form). Then, for a branch of  $\log \lambda$  of the multiplier  $\lambda = P'(a)$ ,*

$$\log \lambda \in \left\{ z: \left| z - \left( 2\pi i \frac{p}{q} + \frac{\pi \log d}{q \phi_{\tau}(a)} \right) \right| < \frac{\pi \log d}{q \phi_{\tau}(a)} \right\}.$$

*Proof of the theorem:* (cf. [Y], [L2], [P], [LS]) Let  $\theta \in \Lambda_{\tau}(a)$  and  $C$  be the angle of access to  $\theta$  with the top  $\theta$  (i.e.  $C$  is  $W_{\tau}^{(r)}(\theta)$ ,  $W_{\tau}^{(l)}(\theta)$  or  $W_{\tau}(\theta)$ , see Lemma 2.1). The angle of access  $C$  is invariant under the map  $\sigma_d^q$ . Define two families of curves  $\tilde{E}$  and  $E$ . We take  $\tilde{E} = \{\tilde{e}_{\alpha}\}$  to be the family of all intervals in the angle  $C$  such that the interval  $\tilde{e}_{\alpha}$  joins a point  $V$ ,  $\text{Im } V = y$ , with the point  $\theta + (V - \theta)d^{-q}$ . Here  $y > 0$  is small and fixed and  $\alpha$  is the angle between  $\tilde{e}_{\alpha}$  and  $\mathbb{R}$ . The family  $\tilde{E}$  projects by  $\Phi: H_{\tau} \rightarrow A_{\tau}$  to a family of curves in a small disk centered at  $a$ , and after that to the family  $E$  of curves on the torus  $S$ . Any two curves  $e_1, e_2 \in \Gamma$  are disjoint, because the level  $y$  is small and the point  $\theta$  is periodic of period  $q$ . Moreover, the curves  $e \in \Gamma$  in the torus are closed. The torus  $S$  is conformally equivalent to  $\mathbb{C}/\Pi$ , where  $\Pi = \log \lambda \cdot \mathbb{Z} \times 2\pi i \cdot \mathbb{Z}$ . Every  $e$  lies in  $\Gamma_{p,q}$ , i.e. it lifts to a curve  $\gamma$  in  $\mathbb{C}$  which joins a point  $z$  with  $z + q \log \lambda - p2\pi i$ , with some choice of  $\log \lambda$ . This is because the set  $\bar{\theta}$  is a rotation set of  $\sigma_d$  with rotation number  $p/q$ , i.e. exactly  $p$  curves among  $\{\gamma + k \log \lambda\}_{k=0}^{q-1}$  in  $\mathbb{C}/2\pi i \mathbb{Z}$  are disposed between  $\gamma$  and  $\gamma + \log \lambda$  (including  $\gamma$ ).

The listed geometric properties of  $\tilde{E}$  and  $E$  lead to the following estimates. First, introduce the metric  $\rho$  on the torus  $S$ , which is induced by the Euclidean one using representation  $S \cong \mathbb{C}/\Pi$ . In its turn, the metric  $\rho$  induces a metric in a punctured neighbourhood of the point  $a$ , and then a metric  $\tilde{\rho}$  in the angle  $C$  (with the help of the map  $\Phi^{-1}$ ).

Now let  $M = AL^{-2}$ , where  $A$  is the area of the set of the points  $z \in e$ ,  $e \in E$ , with respect to the metric  $\rho$ , and  $L$  is the infimum of lengths of the  $e \in E$ , with respect to the metric  $\rho$ . The number  $\tilde{M} = \tilde{A}\tilde{L}^{-2}$  is defined similarly, but for the family  $\tilde{E}$  and the metric  $\tilde{\rho}$ . Then  $M = \tilde{M}$  since the metrics  $\rho$  and  $\tilde{\rho}$ , and the

families  $E$  and  $\tilde{E}$  are obtained by a (anti-) holomorphic isomorphism, see [Ah]. Now we use standard estimates in the method of extremal length [Ah] to obtain

$$\frac{\phi(\bar{\theta})}{q \log d} \leq \tilde{M} = M \leq \frac{A}{|q \log \lambda - 2\pi ip|^2}.$$

Summing up these inequalities over all the periodic orbits  $\bar{\theta}$  in  $\Lambda_\tau(a)$ , we come to the following inequality:

$$\frac{\phi_\tau(a)}{q \log \lambda} \leq \frac{2\pi \log |\lambda|}{|q \log \lambda - 2\pi ip|^2},$$

where the equality is attained if and only if the metric  $\tilde{\rho}$  is logarithmic one, which is impossible if the Julia set is not an analytic arc.

## 6. Proof of Theorem 1.1 (B)

Given  $j$  and a point  $t_c + ih_c \in \Omega_j$ , choose a slope  $\tau$  such that  $t_c^\tau = \arg_\tau(t_c + ih_c) \in (\theta_{a,b}^-(p_j/q_j), \theta_{a,b}^+(p_j/q_j))$ . For every  $V \in \Omega_j$ ,  $V \notin \mathbb{R}$ , denote by  $\varphi(V)$  the angle of vision of the interval  $(\theta_{a,b}^-(p_j/q_j), \theta_{a,b}^+(p_j/q_j))$  from the point  $V$ .

According to Theorem 5.1 (with  $d = 2^m$ ), Theorem 4.1, and Remark 2.2, it is enough to show the following:

$$(6.1) \quad \inf_{V \in \Omega_j} \varphi(V) \geq \phi(mq_j, m(q_{j+1} - q_j)),$$

where the function  $\phi(\alpha, \beta)$  is bounded from below by an absolute positive constant, and  $\phi(\alpha, \beta)$  tends to  $\pi/4$  as  $\alpha$  and  $\beta$  tend to infinity. If we have that, then we let

$$\aleph = \frac{\pi \log 2}{\phi}$$

and obtain part (B) of Theorem 1.1.

Let  $V_1$  and  $V_2$  be two vertices of  $\Omega_j$  with the imaginary part  $h^{(j)}$ . Then, for  $V \in \Omega_j$ ,

$$\varphi(V) \geq \min_{1 \leq i \leq 2} \varphi(V_i).$$

Now the angles  $\varphi(V_i)$  are calculated explicitly using Proposition 3.1, part I(ii). Details are left to the reader.

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